

08-28-00  
U.S. Express Mail Label No. 531624092US

A  
Docket No. 230074.0228

**FOLEY & LARDNER**  
2029 Century Park East - Suite 3500  
Los Angeles, CA 90067-3021

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53(b)**

Transmitted herewith for filing is the patent application of:

**INVENTOR(S): Mark Redding, Logan Badia, Sandeep Handa, Hemant Sharma,  
Sanjay Chopra, Vikram Duvvooi, Shankar Ramamoorthy,  
and Ajay Tripathy**

**TITLE: LICENSE MANAGEMENT SYSTEM AND METHOD WITH  
LICENSE BALANCING**

In connection with this application, the following are enclosed:

**APPLICATION ELEMENTS:**

- ☒ Specification (including Abstract): 33 pages
  - ☒ Claims: 29
  - ☒ Informal Drawings: 5 Sheets
  - ☒ Power of Attorney By Assignee and Exclusion of Inventor Under Rule 37 C.F.R. § 3.71
  - ☒ Declaration Under 37 C.F.R. § 1.63
    - ☐ Unsigned
    - ☒ Executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d))
- (relates to continuation/divisional boxes completed) - NOTE: Box below

**ACCOMPANYING APPLICATION PARTS:**

- ☒ Assignment Papers (cover sheet & document(s))
  - Revocation and Power of Attorney
- ☐ Information Disclosure Statement(IDS) with PTO-1449.
  - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Check No. 13001 in the amount of \$852.00 for filing fee.
- ☒ Check No. 13380 in the amount of \$40.00 for assignment recordal fee.
- ☒ Certificate of Mailing By Express Mail
- ☒ Return Receipt Postcard (MPEP 503)
- ☐ Small Entity Statement(s)
  - ☐ Statement filed in prior application, status still proper and desired.
- ☐ Certified Copy of Priority Document(s) with Claim of Priority (if foreign priority is claimed).

**CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No.

\_ Amend the specification by inserting before the first line the following sentence: --This application is a  
continuation, \_ divisional or \_ continuation-in-part of application Serial No. \_, filed \_--

**CORRESPONDENCE ADDRESS:**

Please address all future correspondence to:

Ted R. Rittmaster at the Foley & Lardner address noted above.

Telephone: (310) 277-2223

Fax Number: (310) 557-8475

**FEE CALCULATIONS:** (Small entity fees indicated in parentheses.)

(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$690. (\$345.)
Total Claims	29 -20 = 9	9	x \$18 (x \$9)	162.
Independent Claims	2 -3 = 0	0	x \$78 (x \$39)	0.
Multiple Dependent Claims: 0			\$260 (\$130)	0.
Assignment Recording Fee per property			\$40	40.
Surcharge Under 37 C.F.R. 1.16(e)			\$130 (\$65)	0.
			<b>TOTAL FEE:</b>	<b>\$892.</b>

**METHOD OF PAYMENT:**

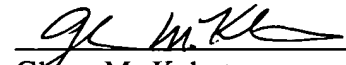
Checks Nos. 13001 and 13380 in the amounts of \$852.00 and \$40.00 are attached. If payment by check is NOT enclosed, it is requested that the Patent and Trademark Office advise the undersigned of the period of time within which to file the TOTAL FEE. If payment is enclosed, this amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0872. A duplicate copy is enclosed.

Respectfully submitted,

August 25, 2000

Date

015.431977.1

  
Glenn M. Kubota  
Reg. No. 44,197

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Attorney Docket No. 230074.0228

Mark Redding, Logan Badia, Sandeep Handa,  
Hemant Sharma, Sanjay Chopra, Vikram Duvvoori,  
Shankar Ramamoorthy, and Ajay Tripathy

Group Art Unit: Unassigned

Serial No.: Unassigned

Examiner: Unassigned

Filed: Concurrently herewith

For: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

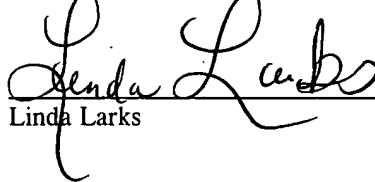
Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Assistant Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (**Express Mail Label No. EL531624092US**) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231.

1. Utility Application Transmittal in duplicate
2. Specification, Claims and Abstract: 33 Pages
3. Informal Drawings: 5 sheets
4. Declaration Under 37 C.F.R. § 1.63 (4 parts)
5. Power of Attorney By Assignee and Exclusion of Inventor
6. Check No. 13001 for \$852.00 for filing fee
7. Assignment (6 parts), Recordation Sheet, Check 13380 for \$40.00 for recordal fee
8. Return Postcard

Respectfully submitted,

  
Linda Larks

August 25, 2000  
Date

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